

24 NCAC 06A .0213 APPROVAL OR DENIAL OF LICENSE APPLICATION; AUTHORITY

(a) The Commission delegates to the Director decision-making authority to grant or deny a License application. The Director shall report all decisions to grant or deny a License application to the Commission.

(b) Any Applicant whose application is denied shall receive a written denial notice. The notice shall state the summary grounds for the denial and provide the Applicant information about seeking reconsideration of the determination as outlined in Rule .0219 of this Subchapter.

(c) Grounds for denial of a License may include the following:

- (1) The Applicant is unable to satisfy the requirements under the Act and these Rules.
- (2) The Applicant or any Key Person is not of good character, honesty, or integrity.
- (3) The Applicant's or any Key Person's prior activities, criminal record, reputation, or associations indicate any of the following:
 - (A) A potential threat to the public interest.
 - (B) The potential to impede the regulation of Sports Wagering or Pari-Mutuel Wagering.
 - (C) The potential of promoting unfair or illegal activities or Event Corruption in the conduct of Sports Wagering or Pari-Mutuel Wagering.
- (4) The Applicant or any Key Person knowingly makes a false statement of material fact or deliberately fails to disclose information requested by the Commission.
- (5) The Applicant or any Key Person knowingly fails to comply with the provisions of the Act and these Rules or any requirements of the Commission.
- (6) The Applicant or any Key Person was convicted in any jurisdiction of a felony, a gambling offense, a criminal offense involving moral turpitude or obstruction of justice, or any criminal offense involving dishonesty or breach of trust within the 10 years prior to the submission date of the application.
- (7) Any Revocation, Suspension, or denial of the Applicant's License to conduct Sports Wagering, or Pari-Mutuel Wagering, other forms of gaming activity, or a Covered Service issued by any other jurisdiction.
- (8) The Applicant has defaulted on any obligation or debt owed to this State.
- (9) For Sports Wagering Operators, any breach, discontinuance, or other cessation of the Written Designation Agreement required under G.S. 18C-905.

*History Note: Authority G.S. 18C-114(a)(14);
Previously adopted as Rule 1B-013;
Eff. January 8, 2024;
Readopted Eff. March 27, 2024.*